

1 KATHRYN KENEALLY  
Assistant Attorney General

2 CHARLES M. DUFFY  
3 Trial Attorney, Tax Division  
4 U.S. Department of Justice  
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7 Washington, D.C. 20044-0683  
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11 *Attorneys for the United States of America*

12 ANN SCHEEL  
13 Acting United States Attorney  
14 District of Arizona  
15 *Of Counsel*

16 IN THE UNITED STATES DISTRICT COURT  
17 DISTRICT OF ARIZONA

18 UNITED STATES OF AMERICA,

19 Plaintiffs,

20 v.

21 JAMES LESLIE READING, CLARE L.  
22 READING, FOX GROUP TRUST,  
23 MIDFIRST BANK, CHASE, FINANCIAL  
24 LEGAL SERVICES, STATE OF ARIZONA

25 Defendants.

Civ. No. 11-0698-PHX-FJM

**SECOND DECLARATION OF CHARLES  
DUFFY**

26 I, Charles M. Duffy, declare as follows:

27 1. I am a trial attorney with the Tax Division of the United States Department of Justice  
28 and I have primary responsibility for representing the United States in the above-referenced case.

2. The documents attached hereto as Exhibit A-1 to A-4 are true and correct copy of the  
IRS's Form 4340, Certificates of Assessments, Payments and Other Specified Matters that the  
Internal Revenue Service ("IRS") sent to the Tax Division and that relate to the 1993, 1994, 1995  
and 2008 federal income tax years of James L. Reading.



1           10. Attached hereto as Exhibits M and O are true and correct copies of documents  
2 produced in this case by defendants James and Clare Reading and the Fox Group Trust.

3           I HEREBY DECLARE under penalty of perjury that the foregoing is true and correct to the  
4 best of my knowledge.

5           DATED this 11th day of May, 2012.

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/s/ Charles M. Duffy  
CHARLES M. DUFFY  
Trial Attorney, Tax Division  
U.S. Department of Justice

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**CERTIFICATE OF SERVICE**

I HEREBY CERTIFY that on this 11th day of May, 2012, I served the foregoing through the Court’s electronic filing system:

ROBERT P. VENTRELLA  
Assistant Attorney General  
1275 West Washington Street  
Phoenix, Arizona 85007-2926

PAUL M. LEVINE, ESQUIRE  
LAKSHMI JAGANNATH, ESQUIRE  
McCarthy, Holthus, Levine Law Firm  
8502 E. Via de Ventura, Suite 200  
Scottsdale, Arizona 85258

TOMMY K. CRYER  
Attorney at Law  
7330 Fern Avenue  
Shreveport, Louisiana 71105

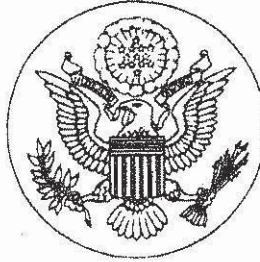
/s/ Charles M. Duffy  
Charles M. Duffy  
Trial Attorney, Tax Division  
U.S. Department of Justice

**EXHIBITS TO SECOND DECLARATION OF CHARLES DUFFY (INDEX)**

Exhibit A-1toA-4	Forms 4340 for assessments made against James Reading for his 1993-1995 and 2008 years.
Exhibit B-1toB-2	Forms 4340 for assessments made against Clare Reading for her 1994-1995 years.
Exhibits C-1toC-10	Forms 4340 for the frivolous return assessments made against James Reading.
Exhibits D-1toD-11	Forms 4340 for the frivolous return assessments made against Clare Reading.
Exhibit E	Documents produced by Colonial Claims Corp.
Exhibit F	Documents produced by Pilot companies.
Exhibit G	Correspondence dated November 26, 2008 from the Readings
Exhibit H	Deposition transcript of James Reading
Exhibit I	Deposition transcript of Clare Reading
Exhibit J	Joint Tenancy Deed
Exhibit K	Quit Claim Deed
Exhibit L	Note
Exhibit M	Private Contract and Declaration of Pure Trust
Exhibit N	Correct Property Legal Description
Exhibit O	Addendum # 1 (Private Contract)
Exhibit P	Deposition transcript of Terry Major
Exhibit Q	IRS letter dated October 5, 2009

**SECOND DUFFY DECLARATION  
EXHIBITS A-1 TO A-4**

United States



of America

**Government  
Exhibit**  
DuffyExA-1

Department of the Treasury  
Internal Revenue Service

Date: Jan. 19, 2012

CERTIFICATE OF OFFICIAL RECORD

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for James L. Reading, SSN: [REDACTED] 8531 & Spouse's SSN: [REDACTED] 8531\*, for U.S. Individual Income Tax Return (Form 1040), for the tax period ending December 31, 1993, consisting of eight pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand,  
and caused the seal of this office to be affixed, on the day  
and year first above written.

By direction of the Secretary of the Treasury:

Handwritten signature of Linda B. Oram in cursive script.

Linda B. Oram  
Accounting Operation Manager  
Ogden W&I Submission Processing  
W&I Delegation Order WI-11-5

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: ██████████ 8531  
██████████ 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1993

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
	ADJUSTED GROSS INCOME 75,745.00			
	TAXABLE INCOME 70,295.41			
	SELF EMPLOYMENT TAX 3,666.00			
04-06-1998	SUBSTITUTE FOR RETURN 29210-192-25800-8		0.00	08-10-1998
04-21-1997	RECEIVED POA/TIA			
	FAILURE TO PAY TAX PENALTY 20011508	12,909.00		04-23-2001
	ESTIMATED TAX PENALTY 20011508	2,149.74		04-23-2001
	LATE FILING PENALTY 20011508	11,618.00		04-23-2001
04-15-1994	WITHHOLDING CREDIT		2,959.00	
	ADDITIONAL TAX ASSESSED BY EXAMINATION AUDIT DEFICIENCY PER DEFAULT OF 90 DAY LETTER ASED 20040423 29247-489-00059-1 20011508	54,595.00		04-23-2001
	INTEREST ASSESSED 20011508	52,245.37		04-23-2001
07-16-2002	RECEIVED POA/TIA			
06-23-2003	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			

FORM 4340 (REV. 01-2002)

PAGE 1



JAMES L READING

EIN/SSN: ██████████ 8531  
 ██████████ 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
 FORM: 1040 TAX PERIOD: DEC 1993

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
	LATE FILING PENALTY 20034108		1,291.00	10-20-2003
10-20-2003	FAILURE TO PAY TAX PENALTY ABATED		12,909.00-	
	ADDITIONAL TAX ASSESSED 89254-672-18014-3 20034108		0.00	10-20-2003
10-13-2003	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
	INTEREST ASSESSED 20034108		20,455.15	10-20-2003
	ADDITIONAL TAX ASSESSED 89254-672-05041-3 20034208		0.00	10-27-2003
	INTEREST ASSESSED 20034208		106.97	10-27-2003
	FAILURE TO PAY TAX PENALTY 20034208		12,909.00	10-27-2003

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: ██████████ 8531  
██████████ 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1993

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
02-09-2004	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
08-12-2005	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
08-19-2005	FEDERAL TAX LIEN			
08-18-2005	MODULE BLOCKED OR RELEASED FROM FEDERAL PAYMENT LEVY PROGRAM			
08-19-2005	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			
09-12-2005	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
05-05-2006	FEDERAL TAX LIEN			
05-29-2006	FEES AND COLLECTION COSTS		16.00	
05-12-2006	FEDERAL TAX LIEN			
06-05-2006	FEES AND COLLECTION COSTS		16.00	
FORM 4340 (REV. 01-2002)			PAGE 3	

JAMES L READING

EIN/SSN: ██████████ 8531  
██████████ 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1993

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
05-18-2006	RECEIVED POA/TIA			
09-11-2006	REVERSAL OF MODULE BLOCKED FROM FEDERAL PAYMENT LEVY PROGRAM			
09-11-2006	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
11-01-2006	LEGAL SUIT PENDING			
12-11-2006	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
02-21-2007	REMOVED POA/TIA			
09-13-2007	LEGAL/BANKRUPTCY SUIT NO LONGER PENDING			
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
11-05-2007	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
12-24-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			

FORM 4340 (REV. 01-2002)

PAGE 4

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: ██████████ 8531  
 ██████████ 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
 FORM: 1040 TAX PERIOD: DEC 1993

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
09-12-2008	RECEIVED POA/TIA			
01-09-2009	FEDERAL TAX LIEN			
02-09-2009	FEES AND COLLECTION COSTS		32.00	
04-27-2009	RECEIVED POA/TIA			
11-09-2009	RECEIVED POA/TIA			
11-29-2010	REMOVED POA/TIA			
	ADDITIONAL TAX ASSESSED 83254-440-05046-1 20110708		0.00	02-28-2011
04-06-2011	LEGAL SUIT PENDING			
04-22-2011	FEDERAL TAX LIEN			
05-16-2011	FEES AND COLLECTION COSTS		16.00	
05-06-2011	FEDERAL TAX LIEN			
FORM 4340 (REV. 01-2002)			PAGE 5	

JAMES L READING

EIN/SSN: [REDACTED] 8531  
 [REDACTED] 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
 FORM: 1040 TAX PERIOD: DEC 1993

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
05-30-2011	FEES AND COLLECTION COSTS		48.00	
06-13-2011	PRIOR TAX ABATED BY EXAMINATION AGREED AUDIT DEFICIENCY PRIOR TO 30 OR 60 DAY LETTER 49247-543-31695-1		32,866.00-	
06-13-2011	FAILURE TO PAY TAX PENALTY ABATED		8,216.50-	
07-15-2011	FEDERAL TAX LIEN RELEASED			
04-10-1995	Taxpayer Delinquency Notice			
05-01-1995	Taxpayer Delinquency Notice			
04-23-2001	Statutory Notice of Balance Due			
05-28-2001	Notice of Balance Due			
06-11-2001	Notice of Balance Due			
10-22-2001	Statutory Notice of Intent to Levy			

JAMES L READING

EIN/SSN: [REDACTED] 3531  
[REDACTED] 3531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1993

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
09-29-2003	Statutory Notice of Intent to Levy			
10-20-2003	Statutory Notice of Balance Due			
10-09-2006	Statutory Notice of Balance Due			
10-22-2007	Statutory Notice of Intent to Levy			
10-12-2009	Statutory Notice of Balance Due			
10-11-2010	Statutory Notice of Balance Due			
06-13-2011	Statutory Notice of Balance Due			

JAMES L READING

EIN/SSN: [REDACTED] 8531  
[REDACTED] 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1993

BALANCE 111,456.73

I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. I FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER: Linda B. Oram

PRINT NAME: Linda B. Oram

TITLE: Accounting Operation Manager, Ogden W&I Submission Processing

DELEGATION ORDER: WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 01/19/2012

United States



of America

Department of the Treasury  
Internal Revenue Service

Date: Jan. 19, 2012

CERTIFICATE OF OFFICIAL RECORD

**Government  
Exhibit**

DuffyExA-2

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for James L. Reading, SSN: [REDACTED] 8531 & Spouse's SSN: [REDACTED] 8531\*, for U.S. Individual Income Tax Return (Form 1040), for the tax period ending December 31, 1994, consisting of eight pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Handwritten signature of Linda B. Oram in cursive.

Linda B. Oram  
Accounting Operation Manager  
Ogden W&I Submission Processing  
W&I Delegation Order WI-11-5



## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: ██████████ 8531  
██████████ 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
	ADJUSTED GROSS INCOME 154,935.00			
	TAXABLE INCOME 151,760.00			
	SELF EMPLOYMENT TAX 11,708.00			
04-06-1998	RETURN FILED & TAX ASSESSED 29221-164-37246-8		0.00	10-19-1998
04-21-1997	RECEIVED POA/TIA			
	FAILURE TO PAY TAX PENALTY 20011508	15,762.25		04-23-2001
	ESTIMATED TAX PENALTY 20011508	3,271.72		04-23-2001
	LATE FILING PENALTY 20011508	14,186.02		04-23-2001
	ADDITIONAL TAX ASSESSED BY EXAMINATION AUDIT DEFICIENCY PER DEFAULT OF 90 DAY LETTER ASED 20040423 29247-489-00060-1 20011508	63,049.00		04-23-2001
	INTEREST ASSESSED 20011508	52,467.51		04-23-2001
07-16-2002	RECEIVED POA/TIA			
06-23-2003	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
	LATE FILING PENALTY 20034108		1,576.23	10-20-2003

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PAGE 1

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: ██████████ 8531  
██████████ 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
10-20-2003	FAILURE TO PAY TAX PENALTY ABATED		15,762.25-	
	ADDITIONAL TAX ASSESSED 89254-672-18013-3 20034108		0.00	10-20-2003
10-13-2003	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
	INTEREST ASSESSED 20034108		22,982.40	10-20-2003
	ADDITIONAL TAX ASSESSED 89254-672-05040-3 20034208		0.00	10-27-2003
	INTEREST ASSESSED 20034208		120.89	10-27-2003
	FAILURE TO PAY TAX PENALTY 20034208		15,762.24	10-27-2003
02-09-2004	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			

FORM 4340 (REV. 01-2002)

PAGE 2

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: ██████████ 8531  
██████████ 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
08-12-2005	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
08-19-2005	FEDERAL TAX LIEN			
08-18-2005	MODULE BLOCKED OR RELEASED FROM FEDERAL PAYMENT LEVY PROGRAM			
08-19-2005	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			
09-12-2005	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
05-05-2006	FEDERAL TAX LIEN			
05-12-2006	FEDERAL TAX LIEN			
05-18-2006	RECEIVED POA/TIA			
09-11-2006	REVERSAL OF MODULE BLOCKED FROM FEDERAL PAYMENT LEVY PROGRAM			

FORM 4340 (REV. 01-2002) PAGE 3

JAMES L READING

EIN/SSN: ██████████ 8531  
██████████ 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
09-11-2006	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
11-01-2006	LEGAL SUIT PENDING			
12-11-2006	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
02-21-2007	REMOVED POA/TIA			
09-13-2007	LEGAL/BANKRUPTCY SUIT NO LONGER PENDING			
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
11-05-2007	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
12-24-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: [REDACTED] 8531  
[REDACTED] 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
09-12-2008	RECEIVED POA/TIA			
01-09-2009	FEDERAL TAX LIEN			
12-24-2008	AMENDED RETURN FILED 89277-442-00459-9			
04-27-2009	RECEIVED POA/TIA			
11-09-2009	RECEIVED POA/TIA			
03-08-2010	AMENDED RETURN FILED 18277-470-02091-0			
06-10-2010	AMENDED RETURN FILED 18277-562-02285-0			
11-29-2010	REMOVED POA/TIA			
	ADDITIONAL TAX ASSESSED 83254-440-05047-1 20110708		0.00	02-28-2011
04-06-2011	LEGAL SUIT PENDING			
04-22-2011	FEDERAL TAX LIEN			
05-06-2011	FEDERAL TAX LIEN			
FORM 4340 (REV. 01-2002)			PAGE	5

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: ██████████ 8531  
██████████ 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
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06-13-2011	PRIOR TAX ABATED BY EXAMINATION AGREED AUDIT DEFICIENCY PRIOR TO 30 OR 60 DAY LETTER 49247-543-31696-1		3,092.00-	
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06-13-2011	FAILURE TO PAY TAX PENALTY ABATED		773.00-	
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07-15-2011	FEDERAL TAX LIEN RELEASED			
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04-23-2001	Statutory Notice of Balance Due			
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05-28-2001	Notice of Balance Due			
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06-11-2001	Notice of Balance Due			
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09-10-2001	Statutory Notice of Intent to Levy			
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09-29-2003	Statutory Notice of Intent to Levy			
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10-20-2003	Statutory Notice of Balance Due			
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10-09-2006	Statutory Notice of Balance Due			
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FORM 4340 (REV. 01-2002)

PAGE 6

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: [REDACTED] 8531  
[REDACTED] 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
10-22-2007	Statutory Notice of Intent to Levy			
10-12-2009	Statutory Notice of Balance Due			
10-11-2010	Statutory Notice of Balance Due			
06-13-2011	Statutory Notice of Balance Due			

FORM 4340 (REV. 01-2002)

PAGE 7

JAMES L READING

EIN/SSN: [REDACTED] 8531  
[REDACTED] 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

BALANCE 169,551.01

I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. I FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER: Linda B. Oram

PRINT NAME: Linda B. Oram

TITLE: Accounting Operation Manager, Ogden W&I Submission Processing

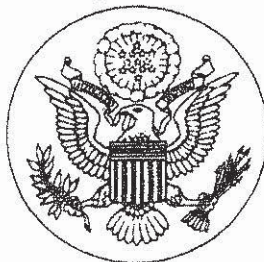
DELEGATION ORDER: WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 01/19/2012



United States



of America

Department of the Treasury  
Internal Revenue Service

Date: Jan. 19, 2012

CERTIFICATE OF OFFICIAL RECORD

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for James L. Reading, SSN: [REDACTED] 8531 & Spouse's SSN: [REDACTED] 8531\*, for U.S. Individual Income Tax Return (Form 1040), for the tax period ending December 31, 1995, consisting of seven pages



under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

A handwritten signature in cursive script that reads "Linda B. Oram".

Linda B. Oram  
Accounting Operation Manager  
Ogden W&I Submission Processing  
W&I Delegation Order WI-11-5

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: ██████████ 8531  
██████████ 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
	ADJUSTED GROSS INCOME 112,278.00			
	TAXABLE INCOME 107,603.00			
	SELF EMPLOYMENT TAX 10,740.00			
11-03-1998	SUBSTITUTE FOR RETURN 29210-318-25209-8		0.00	12-14-1998
04-21-1997	RECEIVED POA/TIA			
	FAILURE TO PAY TAX PENALTY 20011508	10,484.50		04-23-2001
	ESTIMATED TAX PENALTY 20011508	2,274.00		04-23-2001
	LATE FILING PENALTY 20011508	9,436.05		04-23-2001
	ADDITIONAL TAX ASSESSED BY EXAMINATION AUDIT DEFICIENCY PER DEFAULT OF 90 DAY LETTER ASED 20040423 29247-489-00061-1 20011508	41,938.00		04-23-2001
	INTEREST ASSESSED 20011508	27,329.46		04-23-2001
07-16-2002	RECEIVED POA/TIA			
06-23-2003	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
	LATE FILING PENALTY 20034108		1,048.45	10-20-2003
FORM 4340	(REV. 01-2002)	PAGE	1	

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: ██████████ 8531  
██████████ 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
10-20-2003	FAILURE TO PAY TAX PENALTY ABATED		10,484.50-	
	ADDITIONAL TAX ASSESSED 89254-672-18015-3 20034108		0.00	10-20-2003
10-13-2003	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
	INTEREST ASSESSED 20034108		13,900.38	10-20-2003
	ADDITIONAL TAX ASSESSED 89254-672-05042-3 20034208		0.00	10-27-2003
	INTEREST ASSESSED 20034208		73.61	10-27-2003
	FAILURE TO PAY TAX PENALTY 20034208		10,484.50	10-27-2003
02-09-2004	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			

FORM 4340 (REV. 01-2002)

PAGE 2

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: [REDACTED] 8531  
 [REDACTED] 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
 FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
08-12-2005	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
08-19-2005	FEDERAL TAX LIEN			
08-18-2005	MODULE BLOCKED OR RELEASED FROM FEDERAL PAYMENT LEVY PROGRAM			
08-19-2005	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			
09-12-2005	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
05-05-2006	FEDERAL TAX LIEN			
05-12-2006	FEDERAL TAX LIEN			
05-18-2006	RECEIVED POA/TIA			
09-11-2006	REVERSAL OF MODULE BLOCKED FROM FEDERAL PAYMENT LEVY PROGRAM			

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: [REDACTED] 8531  
[REDACTED] 8531\*TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
09-11-2006	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
11-01-2006	LEGAL SUIT PENDING			
12-11-2006	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
02-21-2007	REMOVED POA/TIA			
09-13-2007	LEGAL/BANKRUPTCY SUIT NO LONGER PENDING			
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
11-05-2007	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
12-24-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			

FORM 4340 (REV. 01-2002) PAGE 4

JAMES L READING

EIN/SSN: [REDACTED] 8531  
[REDACTED] 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
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09-12-2008	RECEIVED POA/TIA			
01-09-2009	FEDERAL TAX LIEN			
04-27-2009	RECEIVED POA/TIA			
11-09-2009	RECEIVED POA/TIA			
11-29-2010	REMOVED POA/TIA			
04-06-2011	LEGAL SUIT PENDING			
04-22-2011	FEDERAL TAX LIEN			
05-06-2011	FEDERAL TAX LIEN			
07-15-2011	FEDERAL TAX LIEN RELEASED			
04-23-2001	Statutory Notice of Balance Due			
05-28-2001	Notice of Balance Due			
06-11-2001	Notice of Balance Due			
09-10-2001	Statutory Notice of Intent to Levy			
09-29-2003	Statutory Notice of Intent to Levy			

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: ██████████ 8531  
 ██████████ 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
 FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
10-20-2003	Statutory Notice of Balance Due			
10-09-2006	Statutory Notice of Balance Due			
10-22-2007	Statutory Notice of Intent to Levy			
10-12-2009	Statutory Notice of Balance Due			
10-11-2010	Statutory Notice of Balance Due			

FORM 4340 (REV. 01-2002)

PAGE 6

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES L READING

EIN/SSN: [REDACTED] 8531  
[REDACTED] 8531\*

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

BALANCE 106,484.45

I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. I FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER: Linda B. Oram

PRINT NAME: Linda B. Oram

TITLE: Accounting Operation Manager, Ogden W&I Submission Processing

DELEGATION ORDER: WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 01/19/2012



United States



of America



Department of the Treasury  
Internal Revenue Service

Date: Jan. 19, 2011

CERTIFICATE OF OFFICIAL RECORD

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for James Leslie Reading, SSN: [REDACTED] 8531, for U.S. Individual Income Tax Return (Form 1040), for the tax period ending December 31, 2008, consisting of three pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

A handwritten signature in black ink, appearing to read "St Bonnemort".

Steve Bonnemort  
Accounting Operation Manager  
Ogden W&I Submission Processing  
W&I Delegation Order WI-11-5

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES LESLIE READING

EIN/SSN: ██████████ 8531

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 2008

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
	ADJUSTED GROSS INCOME 22,802.00			
	TAXABLE INCOME 13,852.00			
	SELF EMPLOYMENT TAX 3,371.00			
07-24-2009	SUBSTITUTE FOR RETURN 29210-888-00000-9		0.00	08-17-2009
11-09-2009	RECEIVED POA/TIA			
	ESTIMATED TAX PENALTY 20102408		162.32	06-28-2010
	LATE FILING PENALTY 20102408		1,136.48	06-28-2010
	ADDITIONAL TAX ASSESSED BY EXAMINATION AUDIT DEFICIENCY PER DEFAULT OF 90 DAY LETTER 29247-559-00042-0 20102408		5,051.00	06-28-2010
06-28-2010	RENUMBERED RETURN 29247-559-00042-0			
	INTEREST ASSESSED 20102408		304.94	06-28-2010
	FAILURE TO PAY TAX PENALTY 20102408		378.82	06-28-2010
07-13-2010	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
07-15-2010	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			
FORM 4340	(REV. 01-2002)			
		PAGE	1	

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

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JAMES LESLIE READING

EIN/SSN: ██████████8531

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
 FORM: 1040 TAX PERIOD: DEC 2008

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DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
07-23-2010	FEDERAL TAX LIEN			
08-16-2010	FEES AND COLLECTION COSTS		16.00	
08-27-2010	FEDERAL TAX LIEN			
09-20-2010	FEES AND COLLECTION COSTS		16.00	
11-29-2010	REMOVED POA/TIA			
06-28-2010	Statutory Notice of Balance Due			

FORM 4340 (REV. 01-2002)

PAGE 2

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

JAMES LESLIE READING

EIN/SSN: [REDACTED] 8531

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 2008

BALANCE 7,065.56

I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. I FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER: 

PRINT NAME: Steve Bonnemort

TITLE: Accounting Operation Manager, Ogden W&I Submission Processing

DELEGATION ORDER: W&I Delegation Order WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 01/19/2011

**SECOND DUFFY DECLARATION  
EXHIBITS B-1 TO B-2**

United States



of America

**Government  
Exhibit**

DuffyExB-1

Department of the Treasury  
Internal Revenue Service

Date: Jan. 19, 2012

CERTIFICATE OF OFFICIAL RECORD

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare Reading, SSN: [REDACTED] 4550 & Spouse's SSN: [REDACTED] 8531, for U.S. Individual Income Tax Return (Form 1040), for the tax period ending December 31, 1994, consisting of seven pages \_\_\_\_\_

\_\_\_\_\_ under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Handwritten signature of Linda B. Oram in cursive script.

Linda B. Oram  
Accounting Operation Manager  
Ogden W&I Submission Processing  
W&I Delegation Order WI-11-5

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE READING

EIN/SSN: [REDACTED] 4550  
[REDACTED] 8531TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
	ADJUSTED GROSS INCOME 82,495.00			
	TAXABLE INCOME 76,870.00			
03-11-1998	SUBSTITUTE FOR RETURN 29210-094-25428-8		0.00	05-04-1998
06-29-1998	RECEIVED POA/TIA			
	FAILURE TO PAY TAX PENALTY 20011508		5,810.75	04-23-2001
	ESTIMATED TAX PENALTY 20011508		1,206.09	04-23-2001
	LATE FILING PENALTY 20011508		5,229.67	04-23-2001
	ADDITIONAL TAX ASSESSED BY EXAMINATION AUDIT DEFICIENCY PER DEFAULT OF 90 DAY LETTER ASED 20040423 29247-492-00036-1 20011508		25,243.00	04-23-2001
04-23-2001	RENUMBERED RETURN 29247-492-00036-1			
	INTEREST ASSESSED 20011508		19,342.15	04-23-2001
01-13-2003	RECEIVED POA/TIA			
06-09-2003	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
FORM 4340 (REV. 01-2002)			PAGE 1	

CLARE READING

EIN/SSN: [REDACTED] 4550  
 [REDACTED] 8531

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
 FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
	ADDITIONAL TAX ASSESSED 89254-630-05064-5 20053408		0.00	09-05-2005
	INTEREST ASSESSED 20053408		14,939.55	09-05-2005
09-05-2005	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
10-17-2005	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
02-24-2006	FEDERAL TAX LIEN			
03-20-2006	FEES AND COLLECTION COSTS		16.00	
03-02-2006	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
03-06-2006	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			
05-05-2006	FEDERAL TAX LIEN			



## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE READING

EIN/SSN: [REDACTED] 4550  
[REDACTED] 8531TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
05-12-2006	FEDERAL TAX LIEN			
05-18-2006	RECEIVED POA/TIA			
11-01-2006	LEGAL SUIT PENDING			
12-11-2006	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
02-21-2007	REMOVED POA/TIA			
03-09-2007	SUBSEQUENT PAYMENT SEIZURE AND SALE		19,246.87	
08-20-2007	FEES AND COLLECTION COSTS		953.13	
03-09-2007	DESIGNATED PAYMENT OF FEES/COSTS SEIZURE AND SALE		953.13	
09-13-2007	LEGAL/BANKRUPTCY SUIT NO LONGER PENDING			
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
FORM 4340 (REV. 01-2002)			PAGE 3	

CLARE READING

EIN/SSN: [REDACTED] 4550  
 [REDACTED] 8531

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
 FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
11-05-2007	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
12-24-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
01-22-2008	RECEIVED POA/TIA			
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
01-09-2009	FEDERAL TAX LIEN			
02-09-2009	FEES AND COLLECTION COSTS		16.00	
04-27-2009	RECEIVED POA/TIA			
11-09-2009	RECEIVED POA/TIA			
07-23-2010	FEDERAL TAX LIEN			
08-16-2010	FEES AND COLLECTION COSTS		32.00	
11-29-2010	REMOVED POA/TIA			
04-25-2011	STATUTE EXPIRED - CLEAR TO ZERO UNCOLLECTABLE AMOUNT OWED \$ 50,588.34-		50,588.34	

CLARE READING

EIN/SSN: [REDACTED] 4550  
 [REDACTED] 8531

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
 FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
04-06-2011	LEGAL SUIT PENDING			
04-25-2011	BALANCE DUE REINSTATED		(50,588.34)	
05-06-2011	FEDERAL TAX LIEN			
05-30-2011	FEES AND COLLECTION COSTS		32.00	
06-13-2011	PRIOR TAX ABATED BY EXAMINATION AGREED AUDIT DEFICIENCY PRIOR TO 30 OR 60 DAY LETTER 49247-543-31697-1		2,916.00-	
	INTEREST ASSESSED 20112208		17,101.59	06-13-2011
07-15-2011	FEDERAL TAX LIEN RELEASED			
03-18-1996	Taxpayer Delinquency Notice			
04-08-1996	Taxpayer Delinquency Notice			
04-23-2001	Statutory Notice of Balance Due			
05-28-2001	Notice of Balance Due			
06-11-2001	Notice of Balance Due			

CLARE READING

EIN/SSN: [REDACTED] 4550  
[REDACTED] 8531

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
09-10-2001	Statutory Notice of Intent to Levy			
08-22-2005	Statutory Notice of Intent to Levy			
09-05-2005	Statutory Notice of Balance Due			
10-22-2007	Statutory Notice of Intent to Levy			
10-05-2009	Statutory Notice of Balance Due			
10-04-2010	Statutory Notice of Balance Due			
05-09-2011	Statutory Notice of Balance Due			
06-13-2011	Statutory Notice of Balance Due			

FORM 4340 (REV. 01-2002)

PAGE 6

CLARE READING

EIN/SSN: [REDACTED] 4550  
[REDACTED] 8531

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1994

BALANCE 64,805.93

I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. I FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER: Linda B. Oram

PRINT NAME: Linda B. Oram

TITLE: Accounting Operation Manager, Ogden W&I Submission Processing

DELEGATION ORDER: WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 01/19/2012

United States



of America

Department of the Treasury  
Internal Revenue Service



Date: Jan. 19, 2012

CERTIFICATE OF OFFICIAL RECORD

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare Reading, SSN: [REDACTED] 4550 & Spouse's SSN: [REDACTED] 8531, for U.S. Individual Income Tax Return (Form 1040), for the tax period ending December 31, 1995, consisting of six pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

A handwritten signature in cursive script that reads "Linda B. Oram".

Linda B. Oram  
Accounting Operation Manager  
Ogden W&I Submission Processing  
W&I Delegation Order WI-11-5

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE READING

EIN/SSN: ██████████4550  
██████████8531TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
	ADJUSTED GROSS INCOME 58,824.00			
	TAXABLE INCOME 53,049.00			
03-11-1998	SUBSTITUTE FOR RETURN 29210-094-25429-8		0.00	05-11-1998
06-29-1998	RECEIVED POA/TIA			
	FAILURE TO PAY TAX PENALTY 20011508		3,122.25	04-23-2001
	ESTIMATED TAX PENALTY 20011508		677.18	04-23-2001
	LATE FILING PENALTY 20011508		2,810.02	04-23-2001
	ADDITIONAL TAX ASSESSED BY EXAMINATION AUDIT DEFICIENCY PER DEFAULT OF 90 DAY LETTER ASED 20040423 29247-492-00037-1 20011508		12,489.00	04-23-2001
04-23-2001	RENUMBERED RETURN 29247-492-00037-1			
	INTEREST ASSESSED 20011508		8,138.62	04-23-2001
01-13-2003	RECEIVED POA/TIA			
06-09-2003	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
FORM 4340	(REV. 01-2002)			
		PAGE	1	

## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE READING

EIN/SSN: [REDACTED] 4550  
[REDACTED] 3531TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
	ADDITIONAL TAX ASSESSED 89254-630-05065-5 20053408		0.00	09-05-2005
	INTEREST ASSESSED 20053408		7,421.07	09-05-2005
09-05-2005	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
10-17-2005	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
02-24-2006	FEDERAL TAX LIEN			
03-02-2006	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
03-06-2006	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			
05-05-2006	FEDERAL TAX LIEN			
05-12-2006	FEDERAL TAX LIEN			

FORM 4340 (REV. 01-2002)

PAGE 2



## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE READING

EIN/SSN: ██████████ 4550  
██████████ 8531TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
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05-18-2006 RECEIVED POA/TIA

11-01-2006 LEGAL SUIT PENDING

12-11-2006 MODULE REVERSED OUT OF  
FEDERAL PAYMENT LEVY  
PROGRAM

02-21-2007 REMOVED POA/TIA

09-13-2007 LEGAL/BANKRUPTCY SUIT NO  
LONGER PENDING10-22-2007 MODULE IN FEDERAL PAYMENT  
LEVY PROGRAM11-05-2007 MODULE REVERSED OUT OF  
FEDERAL PAYMENT LEVY  
PROGRAM12-24-2007 MODULE IN FEDERAL PAYMENT  
LEVY PROGRAM

01-22-2008 RECEIVED POA/TIA

04-07-2008 MODULE REVERSED OUT OF  
FEDERAL PAYMENT LEVY  
PROGRAM

FORM 4340 (REV. 01-2002)

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## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE READING

EIN/SSN: [REDACTED] 4550  
[REDACTED] 8531TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
01-09-2009	FEDERAL TAX LIEN			
04-27-2009	RECEIVED POA/TIA			
11-09-2009	RECEIVED POA/TIA			
07-23-2010	FEDERAL TAX LIEN			
11-29-2010	REMOVED POA/TIA			
04-25-2011	STATUTE EXPIRED - CLEAR TO ZERO UNCOLLECTABLE AMOUNT OWED \$ 34,658.14-		34,658.14	
04-06-2011	LEGAL SUIT PENDING			
04-25-2011	BALANCE DUE REINSTATED		(34,658.14)	
05-06-2011	FEDERAL TAX LIEN			
07-15-2011	FEDERAL TAX LIEN RELEASED			
04-23-2001	Statutory Notice of Balance Due			
05-28-2001	Notice of Balance Due			
06-11-2001	Notice of Balance Due			

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## CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE READING

EIN/SSN: [REDACTED] 4550  
[REDACTED] 8531TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006 )
09-10-2001	Statutory Notice of Intent to Levy			
08-22-2005	Statutory Notice of Intent to Levy			
09-05-2005	Statutory Notice of Balance Due			
10-22-2007	Statutory Notice of Intent to Levy			
10-05-2009	Statutory Notice of Balance Due			
10-04-2010	Statutory Notice of Balance Due			
05-09-2011	Statutory Notice of Balance Due			

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CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE READING

EIN/SSN: [REDACTED] 4550  
[REDACTED] 8531

TYPE OF TAX: U.S. INDIVIDUAL INCOME TAX RETURN  
FORM: 1040 TAX PERIOD: DEC 1995

BALANCE 34,658.14

I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. I FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER: Linda B. Oram

PRINT NAME: Linda B. Oram

TITLE: Accounting Operation Manager, Ogden W&I Submission Processing

DELEGATION ORDER: WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 01/19/2012